

16138 U.S. PTO  
121203

Docket No. 95-02

22154 U.S. PTO  
10/735601  
121203

## PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner of Patents and Trademarks:

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. §1.53 is the patent application of:  
**Jonathan F. Smith, Kurt Kamrud, Sergey Dryga and Ian Caley**

entitled: **MULTI-ANTIGENIC ALPHAVIRUS REPLICON PARTICLES AND METHODS**

Enclosed are:

- ☒ 54 pages of written description, claims and abstract.  
☒ 1 sheet of formal drawings.  
☒ 1 sequence listing - paper copy  
— an assignment of the invention to \_\_\_\_\_.  
— executed declaration of the inventors and associate power of attorney.  
— a certified copy of a \_\_\_\_\_ application.  
— a verified statement to establish small entity status under 37 CFR §1.9 and §1.27  
☒ Applicants Claim Small Entity Status. See 37 CFR 1.27  
— Request that application not be published pursuant to 35 USC 122(b)(2)(B)(i)  
— information disclosure statement.  
— preliminary amendment  
☒ other: Sequence Listing Diskette  
☒ Statement under 37-CFR § 1-821-824  
☒ Application Data Sheet

### CLAIMS AS FILED

	Number Filed	Number Extra*	Rate	Fee
BASIC FEE			\$770	\$770
TOTAL CLAIMS	26 -20=	6	x \$18	108
INDEPENDENT CLAIMS	2 -3=	0	x\$86	
MULTIPLE DEPENDENT CLAIM PRESENT			x\$290	

\* Number extra must be zero or larger TOTAL \$ 878.00

If applicant has small entity status under 37 C.F.R. 1.9 and 1.27, then divide total fee by 2, and enter amount here.

**SMALL ENTITY TOTAL**

**\$ 439**

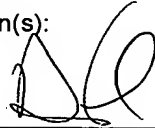
X No filing fee is enclosed at this time.  
— A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.  
— The Commissioner is hereby authorized to charge and credit Deposit Account No. 07-1969 as described below.

— Charge the amount of \$\_\_\_\_\_ as filing fee.  
— Credit any overpayment.  
— Charge any additional filing fees required under 37 CFR 1.16 and 1.17.  
— Charge any processing fees required under 37 CFR 1.17  
— Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of  
— Allowance, pursuant to 37 CFR 1.311(b).  
— Other \_\_\_\_\_

— Benefit of Prior Application(s) (35 USC 119)  
Applicant claims priority under 35 USC 119 to the following application(s):

X Benefit of Prior U.S. Application(s) (35 USC 119(e))  
Applicant claims priority under 35 USC 119(e) to the following application(s):  
**60/433,299 filed December 13, 2002**  
**60/433,058 filed December 13, 2002**

— Benefit of Prior U.S. Application(s) (35 USC 120)  
Applicant claims priority under 35 USC 120 to the following application(s):

  
\_\_\_\_\_  
SIGNATURE OF ATTORNEY  
Reg. No. \_\_\_\_\_ 33,878

\_\_\_\_\_  
Donna M. Ferber  
Type or print name of attorney

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

12 December 2003 B. Kroge  
Date B. Kroge  
Express Mail Receipt No: EV 412 172 437 US